

## Attachment A: Profile of FINRA Large Firm Governor Nominee

### Penny Pennington

#### Edward Jones – Managing Partner

Penny Pennington is the managing partner of Edward Jones, a Fortune 500 financial services firm. As the sixth managing partner in the firm's 100-year history, Penny is responsible for the firm's strategic direction, leading the firm's Enterprise Leadership Team and working together with more than 50,000 associates across North America to make a positive impact in the lives of Edward Jones' clients, colleagues and communities. She was recently named No. 34 in her third appearance on the Fortune Most Powerful Women in Business list.

Penny began her Edward Jones career in 2000 as a financial advisor in Livonia, Mich. In 2006, she was named a principal and relocated to the firm's St. Louis headquarters where she held various leadership roles.

Penny earned a bachelor's degree in commerce with a concentration in finance from the University of Virginia and an MBA from Kellogg School of Management at Northwestern University. She is a graduate of Wharton's Securities Industry Institute and is a CFA charter holder.

She is an active member of the St. Louis community, serving on the boards of the Federal Reserve Bank of St. Louis, United Way of Greater St. Louis, the Donald Danforth Plant Science Center, Washington University in St. Louis Board of Trustees, the Whitaker Foundation and Executive Committee of the Chair's Council for Greater St. Louis, Inc. Penny also actively champions Edward Jones' national presenting sponsorship with the Alzheimer's Association.