

## ENROLLING IN MQP AND/OR EVEP

### Accessing FinPro

MQP and EVEP enrollment is only available in your FinPro account. Instructions to set up a FinPro account are available [here](#).

If you have logged in to FinPro before, you can navigate directly to [FinPro page](#).

### Enrolling in the MQP and/or EVEP

Once logged in, FinPro users who are eligible for FINRA's MQP and/or NASAA's EVEP will see a banner in the **Reminders** section of the page. Select the **Learn More** button to begin the enrollment process.

The screenshot displays a user's profile information and their status for the MQP and EVEP programs. The user is identified as JOHN Q. DOE with a CRD# field. Under 'Continuing Education Status', the 'Regulatory Element CE' is marked as 'Satisfied'. Under 'MQP/EVEP Status', the user is listed as 'Eligible' for both the FINRA Maintaining Qualifications Program (MQP) and NASAA's Exam Validity Extension Program (EVEP). Below this information, a 'Reminders' section contains a blue banner with an information icon, the text 'You may be eligible for the FINRA Maintaining Qualifications Program (MQP) and NASAA's Exam Validity Extension Program (EVEP).', a 'LEARN MORE' button, and a close 'X' button.

Upon clicking the **Learn More** button, individuals are taken to a page that describes the program(s). The first page in this sequence describes the MQP if the user is eligible for the MQP. Click **Continue** to proceed. Click the **Back** or **Back to Home** options to return to FinPro.

# ENROLLING IN MQP AND/OR EVEP

🏠 Back to home

1 About MQP/EVEP — 2 Verify Contact — 3 Select Qualifications — 4 My Requirements — 5 Proceed to Payment

**What is the FINRA Maintaining Qualifications Program (MQP)?**

The FINRA MQP permits individuals who have terminated their representative or principal registration(s) to maintain their qualification(s) for up to five years. Participating in the FINRA MQP does not eliminate the two-year qualification termination period. Rather, it provides participants with an alternative means of staying current on their regulatory and securities knowledge for up to five years, following the termination of their registration(s), by taking continuing education (CE) courses. Individuals participating in the FINRA MQP who subsequently return to a firm will not be required to retake qualification exam(s) to reregister as a representative or principal.

**How does one participate in the FINRA MQP?**

To enroll, an individual must sign into their FinPro account, follow the prompts to select the qualification(s) they want to maintain and pay the enrollment fee. To maintain their FINRA MQP participation, an individual must pay the annual fee and complete their assigned annual CE learning plan by the due date specified in FinPro.

**What is the cost for participating in the FINRA MQP?**

The FINRA MQP has an annual program fee of \$100 regardless of the number of registration categories for which an individual elects to remain qualified.

**Where can one learn more about the FINRA MQP?**

To learn more about the FINRA MQP, visit the [MQP webpage](#).

BACK CONTINUE

Upon clicking the **Continue** button, individuals are taken to a page that describes NASAA's EVEP program. Click **Continue** to proceed.

🏠 Back to home

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**What is NASAA's Exam Validity Extension Program (EVEP)?**

NASAA's EVEP provides an opportunity for registered representatives to extend their S63 NASAA qualification exam for a period up to five years by opting into the program, paying an annual fee and maintaining certain continuing education requirements. Registered representatives who opt into NASAA's EVEP can extend the validity of their exams past the standard two years when not registered with a jurisdiction. This will benefit the individual when they reenter the industry and apply for registration in a jurisdiction that has opted into the program. Please note, if the jurisdiction does not participate in NASAA's EVEP, the exam extension will not be recognized in their jurisdiction. Visit [NASAA's EVEP webpage](#) for a list of participating jurisdictions.

**How does one participate in NASAA's EVEP?**

To participate, an individual must (1) have been registered in the terminated registration category for at least one year immediately prior to the termination of that category; (2) elect to participate within two years from the termination of a registration; (3) must be enrolled and in good standing in the FINRA MQP. To enroll, an individual must sign in to their FinPro account, follow the prompts to select the qualification(s) they would like to maintain and pay the enrollment fee. To maintain their NASAA EVEP status, an individual must, on an annual basis, pay the NASAA EVEP fee and complete the applicable continuing education.

**What is the cost for participating in NASAA's EVEP?**

There is a \$35 annual fee per qualification enrolled in NASAA's EVEP.

**Where can one learn more about NASAA's EVEP?**

To learn more about NASAA's EVEP, visit [NASAA's EVEP webpage](#).

BACK CONTINUE

On the next screen, confirm the current email address before clicking the **Save and Continue** button. Be sure to provide an email address that can be accessed if an individual leaves their current firm. The email address provided will be used for future password resets and program reminders to complete the Learning Plan and re-enroll.

## ENROLLING IN MQP AND/OR EVEP

Back to home

1 About... — 2 Verify C... — 3 Select Qualif... — 4 Proceed to ...

**Verify Email**

*i* MQP notifications will be sent to the email addresses below. Please update as necessary. Changes made are saved to your FinPro profile.

Personal Email\*

john.doe@email.com

Business Email (optional)

john.doe@business.org

BACK SAVE & CONTINUE

The next step is to confirm the FINRA and/or State qualifications to enroll in the program(s) before the applicable deadline.

Note: Enrollment in the MQP is one of the requirements to enroll in the AG EVEP. Users should review the [MQP page](#) for more information about MQP enrollment deadlines. The IAR EVEP does not require enrollment in the MQP.

Users must also agree to each of the program-specific **Terms and Conditions** and program-specific **Payment Agreements** to continue.

# ENROLLING IN MQP AND/OR EVEP

Financial Professional Gateway

1 About MQP/EVEP
2 Verify Contact
3 Select Qualifications
4 My Requirements
5 Proceed to Payment

**FINRA Qualifications**

FINRA Qualifications are extended under FINRA's [Maintaining Qualifications Program \(MQP\)](#). MQP Annual participation fee is \$100, regardless of the number of eligible qualifications selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

	Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/>	SIE & S6TO	IR - Investment Company and Variable Contracts Products Rep	10/03/2025
<input checked="" type="checkbox"/>	SIE & S7TO	GS - Full Registration/General Securities Representative	10/03/2025
<input checked="" type="checkbox"/>	S26	IP - Investment Company and Variable Contracts Products Principal	10/03/2025

**State Qualifications**

State Qualifications are extended under NASAA's [Exam Validity Extension Program \(EVEP\)](#). The annual participation fee for EVEP is \$35 for each qualification selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

	Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/>	S63	AG - Agent	10/03/2025
<input checked="" type="checkbox"/>	S65	RA - Investment Advisor Representative	10/03/2025

Looking for the S66? In order to extend the valid components of the Series 66 exam, an individual should choose the associated registration categories above. To extend the RA and/or AG qualifications of the Series 66, an individual should opt-in to the related Series 63 and/or Series 65.

I have read and agree to the [FINRA MQP Terms and Conditions](#) and the [FINRA MQP Payment Agreement](#).

I have read and agree to [NASAA's EVEP Terms and Conditions](#) and [NASAA's EVEP Payment Agreement](#).

Next, is the **My Requirements** page which details enrollment fees and the CE required to remain in the program(s).

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Based on the qualifications that you selected and your termination date, the following annual fees must be paid and the following assignments need to be completed by the due dates shown below to remain in the Program. Additional CE requirements will be assigned for each year of participation in the program.

**Annual Fees**

2023 FINRA Maintaining Qualifications Program Fee	\$100
2023 NASAA's AG EVEP Fee	\$35
2023 NASAA's IAR EVEP Fee	\$35
<b>Total Amount Due</b>	<b>\$170</b>

**To maintain your FINRA and state Agent Qualifications**

**2023 Learning**

Element Type	Assignment	Due Date
Regulatory	2023 Regulatory Element Introduction and Required Acknowledgements	06/16/2025
Regulatory	New Rule Overview: Rule 3241	06/16/2025
Regulatory	Amended Rules 1210 and 1240: CE Transformation	06/16/2025
Regulatory	Information Protection	06/16/2025
Regulatory	Navigating Regulation BI	06/16/2025
Practical	Exploring Regulatory Considerations for New Products	06/16/2025
Practical	Introduction to the MQP	06/16/2025

After selecting **Continue to Payment**, the individual must input the details of the desired payment method and click **Submit Payment**.

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Financial Professional Gateway

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**Request Confirmation**

Thank you. Your request has been successfully submitted to FINRA.  
Your confirmation number is MQP290271e3f774.  
You may wish to print this page and save your confirmation number for your records.  
Once FINRA has processed your request, additional instructions, will be emailed to [anita.ready@finra.org](mailto:anita.ready@finra.org).

[OK](#)

Once the payment has been submitted, a confirmation screen will display.

Click the **OK** link at the bottom of the confirmation screen to return to the FinPro Summary page.

MQP and EVEP enrollment statuses will be displayed near the top of the page. Note the Payment **Pending** status may display for several minutes in the Summary section after completing the payment. Refresh the page to see the latest status after payment processing is complete.

Financial Professional Gateway My Account | Help NEW | Sign Out

**WILLIAM MARK LAVANNE (CRD# 1022276)**

**MQP/EVEP Status**

FINRA Maintaining Qualifications Program (MQP): **Payment Pending** ⓘ  
 NASAA's Exam Validity Extension Program (EVEP): AG EVEP: **Payment Pending** ⓘ  
 IAR EVEP: **Payment Pending** ⓘ

Actions (Quick Links)

[Print My Report](#)  
[View My Form US](#)  
[Change Home Address](#)

**Current Registrations**  
 Not Currently Registered

**Home Address** [Edit](#)

Current Address  
590 WATERFORD DR. LAKE ZURICH, IL 60047  
 Previous Address  
4698 EDWARDIAN CIRCLE, INDIANAPOLIS, IN 46254

**Alternate Names**

Feb 1987 - Present  
 Jul 1981 - Feb 1987

**MQP/EVEP Enrollment Successful**

Your payment is being processed. Once that is complete, your MQP/EVEP information will be available. Until then, your MQP/EVEP Status will be displayed as Payment Pending.

[OK](#)

**Employment History** ⓘ

CETERA INVESTMENT ADVISERS LLC Jun 2023 - Present

## MQP and EVEP Information in FinPro

To view additional information, select either the current **MQP/EVEP Status**, the **MQP/EVEP** tab on the left navigation panel, or the **Manage My MQP/EVEP** link in the menu on the right.

# ENROLLING IN MQP AND/OR EVEP

There are three elements in the MQP section of an enrolled individual’s FinPro profile:

1. Enrolled Qualifications: This section details qualifications that have been successfully enrolled in the MQP and EVEP.

### FinPro MQP/EVEP Section – Current Enrollments

Enrolled Qualifications				
Currently Enrolled Qualifications				
FINRA Qualifications				
FINRA Qualifications are extended under FINRA's Maintaining Qualifications Program (MQP).				
Qualifying Exams	Registration Category	Current Validity Date	5 Year Validity Date	<a href="#">Link to MQP Learning Plan</a>
SIE	-	03/25/2027	03/25/2028	
STTO	GS - Full Registration/General Securities Representative	03/25/2025	03/25/2028	
S79TO	IB - Investment Banking Representative	03/25/2025	03/25/2028	
State Qualifications				
State Qualifications are extended under NASAA's Exam Validity Extension Program (EVEP). To see a list of currently participating states, please see ____				
Qualifying Exams	Registration Category	Original Validity	Current Validity Date	5 Year Validity Date
S63	AG - Agent	03/25/2025	03/25/2025	03/25/2028

2. Previously Enrolled Qualifications: This section details qualifications that were enrolled in the MQP and EVEP but are no longer enrolled.

Previously Enrolled Qualifications				
FINRA Qualifications				
Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date	
SIE	-	08/04/2023	08/10/2023	
STTO	GS - Full Registration/General Securities Representative	08/04/2023	08/10/2023	
State Qualifications				
Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date	
S63	AG - Agent	08/04/2023	08/10/2023	

3. Eligible Qualifications: This section details qualifications eligible for the MQP and EVEP that are not enrolled. The user should click **Enroll Now** to begin the enrollment process for any of the eligible qualifications.

# ENROLLING IN MQP AND/OR EVEP

Eligible Qualifications		
<b>FINRA Qualifications</b>		
Qualification	Associated Registration Category	Enrollment Deadline
SIE & S79TO	IB - Investment Banking Representative	08/03/2025
<b>State Qualifications</b>		
Qualification	Associated Registration Category	Enrollment Deadline
S63	AG - Agent	08/03/2025
<a href="#">ENROLL NOW</a>		