

**Attachment A  
to  
Academic Corporate Bond TRACE Data Agreement<sup>1</sup>**

**1. ACADEMIC INSTITUTION INFORMATION**

| <b>Academic Institution</b> |  |
|-----------------------------|--|
| <b>Institution Name:</b>    |  |
| <b>City:</b>                |  |
| <b>State:</b>               |  |
| <b>ZIP:</b>                 |  |
| <b>Country:</b>             |  |
| <b>Primary Contact</b>      |  |
| <b>First Name:</b>          |  |
| <b>Last Name:</b>           |  |
| <b>Title/Department:</b>    |  |
| <b>Phone:</b>               |  |
| <b>Email:</b>               |  |

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<sup>1</sup> Academic Institution may modify this Attachment A with additions or deletions from Internal Users and requested use of the Academic Data Set by providing FINRA with written notice (pursuant to Section 19) of any changes to that information and a copy of the revised Attachment A (which changes shall be effective upon acceptance by FINRA); provided, that Academic Institution shall not permit any use of the Academic Data Set by a new Internal User or for a new or modified use unless and until FINRA has agreed to such changes in writing.

**2. REQUESTED USE**

Describe the intended use of the Academic Data Set, including the intended Derivative Works. A study proposal may be attached.

**3. INTERNAL USERS**

List Academic Institution's Internal Users:

| Name: | Title/Role/Affiliation |
|-------|------------------------|
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This Attachment A shall be deemed to be attached to and incorporated into the Academic Corporate Bond TRACE Data Agreement executed by Academic Institution.

The undersigned certifies that (1) he/she is authorized to sign this Attachment A on behalf of Academic Institution and (2) the information provided on this Attachment A is complete and accurate.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_