

From: Brandon Smith [REDACT]
Sent: Monday, June 07, 2021 5:57 PM
To: Comments, Public
Subject: Regulatory notice - 21-19 - comment

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Dear FINRA,

I saw you are looking for comments on 21-19, regarding short positions. Here are my thoughts:

- Naked shorting is illegal and conditions need to be met by regulatory agencies to ensure it doesn't happen.

- Short positions should need to be reported daily by funds and market makers, and public notice needs to be reported daily on a public website for that purpose, for all holdings and companies in the market. Transparency is key.

- Audits need to happen by regulatory agencies to ensure shorts and FTDs are not being hidden in options.

- Punishments need to be severe enough to ensure it doesn't continue, and in a timely manner.

- High frequency low volume trades done in dark pools are being used to influence stock pricing. This market manipulation needs to be clamped down on, enforced, and punished with heavy fines.

- Not allowing the same companies you are meant to enforce regulations on from managing your investment portfolio.

- There should be no profit made from illegal activity, and the fines should be much greater than any profit made from illegal activity.

I wish you luck in your future enforcement.

Best,
Brandon Smith