



North Bridge Capital

April 28, 2014

Via Email

Marcia E. Asquith
Office of the Corporate Secretary FINRA
1735 K Street, NW
Washington, DC 20006-1506

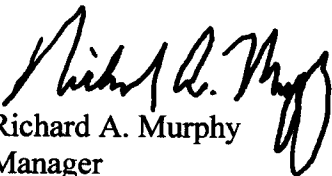
Re: Regulatory Notice 14-09

Dear Ms. Asquith:

North Bridge Capital LLC (“NBC LLC”) is a FINRA member and exists solely to provide third party marketing services to investment manager clients whereby we solicit only institutional investors to make investments with said investment managers’ investment product(s). Ultimately, the transaction is directly between the institutional investor and the investment manager. NBC LLC never receives or accepts any cash, securities or any other assets of any kind whatsoever. Rather, the institutional investor invests cash directly with the investment manager (usually through a third party custodian) and any future transactions, deposits, withdrawals, etc. are effected between the institutional investor and the investment manager (through a custodian if a custody agreement is in place).

NBC LLC is also a member of the Third Party Marketer’s Association (3PM). I have had an opportunity to review 3PM’s comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD). I urge FINRA’s Board to carefully consider 3PM’s thoughtful and informed commentary, which has earned my strong support.

Sincerely,


Richard A. Murphy
Manager